BSE LTD ACKNOWLEDGEMENT

Acknowledgement No	1810201905233731 Date & Time : 18/10/2019 05:23:3	B7 PM
Scrip Code	511066	
Entity Name	Sakthi Finance Limited	
Compliance Type	Regulation 31 - Shareholding pattern	
Quarter / Period	30/09/2019	
Mode	E-Filing	

General information about company	
Scrip code	511066
NSE Symbol	
MSEI Symbol	
ISIN	INE302E01014
Name of the company	Sakthi Finance Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

	Declaration										
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public						
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No						
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No						
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No						
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No						
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No						
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		<u>.</u>						
7	Whether company has equity shares with differential voting rights?	No	No	No	No						
8	Whether the listed entity has any significant beneficial owner?	Yes									

	Table I - Summary Statement holding of specified securities												
	Category	ategory Neg Of	No. of fully paid	No. Of Partly paid-up	No. Of shares	Total nos.	Shareholding as a % of total no. of	Number of class of se	in each				
Category (I)	of shareholder	shareholders	up equity shares	equity shares	underlying Depository	held (VII)	shares (calculated as per SCRR,	No of Voti	ng (XIV	/) Rights	Total as		
	(II)	(III)	held (IV)	held (V)	Receipts (VI)	= (IV)+ (V)+ (VI)	1957) (VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	a % of (A+B+C)		
(A)	Promoter & Promoter Group	18	32107125			32107125	64.21	32107125		32107125	64.21		
(B)	Public	10843	17892875			17892875	35.79	17892875		17892875	35.79		
(C)	Non Promoter- Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total	10861	50000000			50000000	100	50000000		50000000	100		

		Tabl	e I - Sumn	nary Statemen	t holding of specified	secu	rities			
Category (I) Category of Shares (II) Shareholder (II) Category Outstanding Convertible (X)	of	Shares Underlying	No. Of Shares Underlying Outstanding convertible	Shareholding, as a % assuming full conversion of convertible securities (Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in	
	Outstanding Warrants (Xi)	securities and No. Of Warrants (Xi) (a)	as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	(a)	As a % of total Shares held (b)	dematerialized form (XIV)		
(A)	Promoter & Promoter Group				64.21					32107125
(B)	Public				35.79					11295228
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					43402353

•	Fable II - State	ement show	ing shar	eholdiı	ng pattern	of the P	romoter an	d Promo	ter G	roup	
				No. Of	No. Of		Shareholding as a % of	Number of each class		Rights held trities (IX)	in
Sr.	Category & Name of the	Nos. Of shareholders	No. of fully paid up equity	Partly paid- up	shares underlying Depository	Total nos. shares held (VII)	total no. of shares (calculated as	No of Voting (XIV) Rights		/) Rights	Total as a
	Shareholders (I)	(III)	shares held (IV)	equity shares held (V)	Receipts (VI)	= (IV)+ (V)+ (VI)	per SCRR, 1957) (VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	% of Total Voting rights
А	Table II - Statemer	nt showing sha	reholding pa	attern of	the Promoter	and Promo	ter Group				
(1)	Indian	T.	-	-					-		
(a)	Individuals/Hindu undivided Family	4	543668			543668	1.09	543668		543668	1.09
(d)	Any Other (specify)	14	31563457			31563457	63.13	31563457		31563457	63.13
Sub-Total (A)(1)		18	32107125			32107125	64.21	32107125		32107125	64.21
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		18	32107125			32107125	64.21	32107125		32107125	64.21
В	Table III - Stateme	ent showing sh	areholding p	attern of	the Public s	hareholder	•				
(1)	Institutions										
(f)	Financial Institutions/ Banks	2	900			900	0	900		900	0
Sub-Total (B)(1)		2	900			900	0	900		900	0
(3)	Non-institutions		•								
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10547	3876779			3876779	7.75	3876779		3876779	7.75
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	20	1115616			1115616	2.23	1115616		1115616	2.23
(e)	Any Other (specify)	274	12899580			12899580	25.8	12899580		12899580	25.8
Sub-Total (B)(3)		10841	17891975			17891975	35.78	17891975		17891975	35.78
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		10843	17892875			17892875	35.79	17892875		17892875	35.79
С	Table IV - Stateme	ent showing sha	areholding p	attern of	the Non Pro	moter- Non	Public sharehol	der			
Total (A+B+C2)		10861	50000000			50000000	100	50000000		50000000	100
Total (A+B+C)		10861	50000000			5000000	100	5000000		5000000	100

Ta	ble II - Stat	ement sho	wing shareholdi	ng pattern of the Promot	ter ar	nd Proi	note	er Grou	ıp
6-	No. Of Shares Underlying	No. of Shares Underlying	No. Of Shares Underlying Outstanding	Shareholding , as a % assuming full conversion of convertible securities (as a		Number of Locked in shares (XII)		nber of res ged or rwise unbered I)	equity shares held in
Sr.	Outstanding convertible securities (X)	Outstanding Warrants (Xi) (a) covertible securities and No. Of Warrants (Xi) (a)		percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	dematerialized form (XIV)
А	Table II - State	ement showing	g shareholding patter	n of the Promoter and Promoter	Group)			
(1)	Indian							_	
(a)				1.09					543668
(d)				63.13					31563457
Sub-Total (A) (1)				64.21					32107125
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group $(A)=$ (A)(1)+(A)(2)				64.21					32107125
В	Table III - Sta	tement showir	ig shareholding patte	rn of the Public shareholder	1	1	1	1	1
(1)	Institutions								
(f)				0					0
Sub-Total (B) (1)				0					0
(3)	Non-institution	S	•	·!	•		•		
(a(i))				7.75					2915834
(a(ii))				2.23					872466
(e)				25.8					7506928
Sub-Total (B) (3)				35.78					11295228
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				35.79					11295228
С	Table IV - Sta	tement showir	ng shareholding patte	ern of the Non Promoter- Non Pu	ıblic sł	nareholde	r		
Total (A+B+C2)				100					43402353
Total (A+B+C)				100					43402353

		Individuals/H	indu undivided Family		
Searial No.	1	2	3	4	
Name of the Shareholders (I)	M Manickam	M Balasubramaniam	Karunambal Vanavarayar	M Srinivaasan	Click here to go back
PAN (II)	ACWPM5801F	ABEPB2022Q	AANPV5351J	AIJPS2699J	Total
No. of fully paid up equity shares held (IV)	92813	192000	7500	251355	543668
No. Of Partly paid- up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	92813	192000	7500	251355	543668
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.19	0.38	0.02	0.5	1.09
Number of Voting F	Rights held in each c	ass of securities (IX)			
Class eg:X	92813	192000	7500	251355	543668
Class eg:y					
Total	92813	192000	7500	251355	543668
Total as a % of Total Voting rights	0.19	0.38	0.02	0.5	1.09
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Sharcholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X1)= (V11+(X)(a) As a % of (A+B+C2)	0.19	0.38	0.02	0.5	1.09
Number of Locked	in shares (XII)	r.		r	Γ
No. (a)					
As a % of total Shares held (b)					
	pledged or otherwise	encumbered (XIII)			
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	92813	192000	7500	251355	543668
Reason for not prov	viding PAN				
Reason for not providing PAN					
Shareholder type	Promoter	Promoter	Promoter Group	Promoter Group	

			Any Othe	r (specify)			
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	ABT Industries Limited	ABT Finance Limited	ABT Foundation Limited	ABT Investments (India) Private Limited	Sakthi Finance Financial Services Limited	Sakthi Realty Holdings Limited	Sri Chamundeswari Sugars Limited
PAN (II)	AABCA8402A	AAECA4777F	AAHCA9968P	AADCA6350P	AACCS4535M	AAECA8414H	AACCS5004R
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	919926	131162	2475000	8727400	8110000	2475000	24000
No. Of Partly paid- up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	919926	131162	2475000	8727400	8110000	2475000	24000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.84	0.26	4.95	17.45	16.22	4.95	0.05
Number of Voting F	Rights held in each	class of securitie	es (IX)				
Class eg: X	919926	131162	2475000	8727400	8110000	2475000	24000
Class eg:y Total	919926	131162	2475000	8727400	8110000	2475000	24000
Total as a % of							
Total Voting rights No. Of Shares Underlying Outstanding convertible securities (X)	1.84	0.26	4.95	17.45	16.22	4.95	0.05
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.84	0.26	4.95	17.45	16.22	4.95	0.05
Number of Locked	in shares (XII)						
No. (a) As a % of total Shares held (b)							
Number of Shares J	oledged or otherw	ise encumbered (XIII)				
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	919926	131162	2475000	8727400	8110000	2475000	24000
Reason for not prov	viding PAN						
Reason for not providing PAN							
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

			Any Other (specify)			
Searial No.	8	9	10	11	12	13	14
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Other	Other
Name of the Shareholders (I)	Sakthi Sugars Limited	Sakthi Logistic Services Limited	Sakthi Financial Services (Cochin) Private Limited	Sri Sakthi Textiles Limited	The Gounder and Company Auto Limited	Estate of late Dr N Mahalingam	Estate of late Mrs M Mariammal
PAN (II)	AADCS0651B	AAGCS8531H	AAJCS6439F	AACCS7189R	AABCT7952Q	AARPM7554Q	ADJPM0488L
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	1040000	5700	3411246	7000	3925000	276023	36000
No. Of Partly paid- up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1040000	5700	3411246	7000	3925000	276023	36000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.08	0.01	6.82	0.01	7.85	0.55	0.07
Number of Voting R	Rights held in eacl	n class of securiti	es (IX)				
Class eg: X	1040000	5700	3411246	7000	3925000	276023	36000
Class eg:y							
Total	1040000	5700	3411246	7000	3925000	276023	36000
Total as a % of Total Voting rights	2.08	0.01	6.82	0.01	7.85	0.55	0.07
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.08	0.01	6.82	0.01	7.85	0.55	0.07
Number of Locked	in shares (XII)						
No. (a) As a % of total Shares held (b)							
Number of Shares p	pledged or otherw	ise encumbered					
No. (a)	leaged of otherw	.se encambered	(,,,,,,)				
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1040000	5700	3411246	7000	3925000	276023	36000
Reason for not prov	viding PAN						
Reason for not providing PAN							
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

	Any Other (specify)
Searial No.	
Category	Click here to go back
Name of the Shareholders (I)	
PAN (II)	Total
No. of the Shareholders (I)	14
No. of fully paid up equity shares held (IV)	31563457
No. Of Partly paid- up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	31563457
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	63.13
Number of Voting I	Rights held in each class of securities (IX)
Class eg: X	31563457
Class eg:y	
Total	31563457
Total as a % of Total Voting rights	63.13
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	63.13
Number of Locked	in shares (XII)
No. (a)	
As a % of total Shares held (b)	
Number of Shares	pledged or otherwise encumbered (XIII)
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	31563457
Reason for not prov	riding PAN
Reason for not providing PAN	
Shareholder type	

			Any	Other (specify)			
Searial No.	1	2	3	4	5	6	7
Category	Director or Director's Relatives	HUF	Overseas Corporate Bodies	Overseas Corporate Bodies	Non-Resident Indian (NRI)	Unclaimed or Suspense or Escrow Account	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	More than 1 percentage of shareholding	Category	Category	Category
Name of the Shareholders (I)				Bridgewater Investment Corporation Limited			
PAN (II)				ZZZZ99997Z			
No. of the Shareholders (I)	1	138	1	1	51	1	63
No. of fully paid up equity shares held (IV)	300	148727	4450000	4450000	49105	2975	7846303
No. Of Partly paid- up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	300	148727	4450000	4450000	49105	2975	7846303
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.3	8.9	8.9	0.1	0.01	15.69
Number of Voting R	Rights held in each	class of sec	curities (IX)	I		ļ	
Class eg: X	300	148727	4450000	4450000	49105	2975	7846303
Class eg:y							
Total	300	148727	4450000	4450000	49105	2975	7846303
Total as a % of Total Voting rights	0	0.3	8.9	8.9	0.1	0.01	15.69
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X1)= (VII)+(X) As a % of (A+B+C2)	0	0.3	8.9	8.9	0.1	0.01	15.69
Number of Locked	in shares (XII)						
No. (a) As a % of total Shares held (b)							
Number of equity	300	148727	0	0	47555	2975	6905201
shares held in dematerialized form (XIV)							
dematerialized							

Any Other (specify)													
Searial No.													
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	IEPF								
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category								
Name of the Shareholders (I) GRAHASAKTHI PROPERTIES PVT.LTD		AVDHOOT FINANCE AND INVESTMENT PRIVATE	SAKTHI MANAGEMENT SERVICES(COIMBATORE)LT			Click here to go back							
PAN (II)	AACCG1983D	AAACA6917D	AAGCS7065J			Total							
No. of the Shareholders (I)		1	1	18	1	274							
No. of fully paid up equity shares held (IV)	927237	5624208	1135434	23492	378678	12899580							
No. Of Partly paid- up equity shares held (V)													
No. Of shares underlying Depository Receipts (VI)													
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	927237	5624208	1135434	23492	378678	12899580							
Shareholding as a % of total no. of shares (calculated as per SCR, 1957) (VIII) As a % of (A+B+C2)		11.25	2.27	0.05	0.76	25.81							
Number of Voting F	Rights held in each class of	of securities (IX)	1										
Class eg: X	927237	5624208	1135434	23492	378678	12899580							
Class eg:y													
Total	927237	5624208	1135434	23492	378678	12899580							
Total as a % of Total Voting rights	1.85	11.25	2.27	0.05	0.76	25.81							
No. Of Shares Underlying Outstanding convertible securities (X)													
No. of Shares Underlying Outstanding Warrants (Xi)													
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)													
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)		11.25	2.27	0.05	0.76	25.81							
Number of Locked in shares (XII)													
No. (a)													
As a % of total Shares held (b)													
Number of equity shares held in dematerialized form (XIV)		5624208	1134594	23492	378678	7506928							
Reason for not providing PAN													
Reason for not providing PAN													

Text Block							
Textual Information(1)	Being a Non Resident Incorporated entity outside India, does not have a Permanent Account Number in India						

	significant beneficial owners																
Sr. No		Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of
			Passpo	Passport	t l	N			Passport		N. C. Fr	Whether by virtue of:					creation / acquisition
		Name	PAN	No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	of significant beneficial interest
1		M Manickam	ACWPM5801F		India		M Manickam	ACWPM5801F		India		0.19			No	Yes	08-02-2019
	Tota									Total:	0.19	0	0				
2		M BALASUBRAMANIAM	ABEPB2022Q		India		SAKTHIFINANCE HOLDINGS LIMITED	AAGCS8531H		India		0.4			Yes	Yes	08-02-2019
3		M BALASUBRAMANIAM	ABEPB2022Q		India		ABT Foundation Limited	AAHCA9968P		India		5.33			Yes	Yes	08-02-2019
											Total:	5.73	0	0			