

 **SAKTHI FINANCE**  
**AN EDIFICE BUILT ON TRUST**

SFL:COMP CER0916:162:2016-17

8th October 2016

BSE Limited  
Floor 25, P J Towers  
Dalal Street  
Fort  
Mumbai - 400 001

Fax Nos: 022-22723121 / 22723719 / 22722309  
Scrip Code: 511066  
Email Id: [corp.compliance@bseindia.com](mailto:corp.compliance@bseindia.com)

Kind Attn: Ms.Sapna Madhukiya  
Asst.Manager, DCS

Madam,

**Compliance Certificate under the Listing Regulations**

As required under Regulation 40(9) of Securities and Exchange Board Of India (Listing Obligations and Disclosure Requirements) Regulations 2015, we enclose a compliance certificate obtained from a Secretary in whole-time practice for the half year ended 30th September 2016 relating to transfers / transmissions etc., effected by the Company within the time stipulated under that Regulation.

We request you to kindly take the document on record.

Yours faithfully  
For Sakthi Finance Limited

  
S Venkatesh  
Company Secretary



Encl: (1)

**Sakthi Finance Limited**

62, Dr. Nanjappa Road Coimbatore - 641 018 | T: 0422 2231471/4 | F: 0422 2231915 | W: [www.sakthifinance.com](http://www.sakthifinance.com)  
CIN : L65910TZ1955PLC000145



**R. DHANASEKARAN** M.Com., F.C.S.  
Company Secretary in Practice

156/22, II Floor, Parsn Trade Plaza  
Dr. Nanjappa Road, Coimbatore - 641 018  
Phone : 0422 - 2304479 Mobile : 94420 14480  
E-mail : sharpcs1@gmail.com


**HALF YEARLY COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF  
SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30TH SEPTEMBER 2016.**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Sakthi Finance Limited** having its Registered Office at 62, Dr.Nanjappa Road, P.B.No.3745, Coimbatore 641 018 maintained by S.K.D.C. Consultants Limited, Kanapathy Towers, Third Floor, 1391/A1, Sathy Road, Ganapathy, Coimbatore 641 006, (RTA) pertaining to transfer of equity shares of the company for the period from **1st April 2016 to 30th September 2016** of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Listing Agreement entered into by Sakthi Finance Limited, with stock exchanges, and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on **30<sup>th</sup> September 2016:-**

A) relating to the transfer of Shares received during the period from **1st April 2016 to 30th September 2016** as entered in the Memorandum of Transfers, Share Certificates have been issued within thirty days from the respective date of lodgment of each deed excepting those rejected on technical grounds; there was no lodgment for sub-division, consolidation, renewal, exchange or endorsement of calls / allotment of monies during the period from and **1st April 2016 to 30th September 2016.**

Date: 07.10.2016  
Place: Coimbatore

  
CS. R. Dhanasekaran  
Company Secretary in Practice,  
FCS7070 / CP 7745.